

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **David P. Huber et al.**

Docket No.

**1-36571**

Serial No.

**10/684,311**

Filing Date

**October 11, 2003**

Examiner

Group Art Unit

Invention:

**PINION CARRIER FOR PLANETARY GEAR TRAIN AND METHOD OF MAKING SAME**

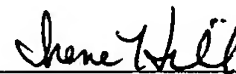
I hereby certify that this **Supplemental Information Disclosure Statement, PTO-1449 with references**  
(Identify type of correspondence)

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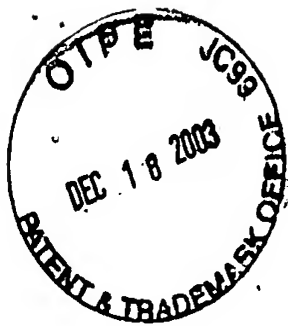
**Irene Hill**

(Typed or Printed Name of Person Mailing Correspondence)



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
David P. Huber et al.	)	Group Art Unit:
	)	
Filed: October 11, 2003	)	Examiner:
	)	
Serial No.: 10/684,311	)	Attorney Docket: 1-36571
	)	
For: PINION CARRIER FOR PLANETARY	)	
GEAR TRAIN AND METHOD FOR	)	
MAKING SAME	)	
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December 15, 2003

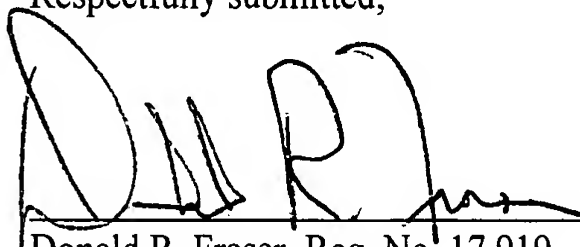
Honorable Commissioner  
For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
IN ACCORDANCE WITH 37 C.F.R. 1.97(b)

Honorable Sir:

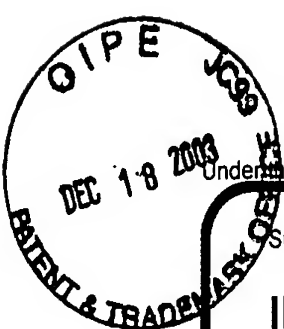
Pursuant to 37 C.F.R. 1.97(b), record is hereby made of information which the Patent Office may wish to consider in connection with its examination of the above-identified application. A completed PTO-1449 form, and a copy of any foreign references listed, are enclosed.

Respectfully submitted,



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<div>Substitute for form 1449A/PTO</div> <div>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div>			Compl te if Known		
			Application Number	10/684,311	
			Filing Date	October 11, 2003	
			First Named Inventor	David P. Huber	
			Art Unit		
			Examiner Name		
Sheet	1	of	1	Attorney Docket Number	1-36571

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		US- 3,842,481		10-22-1974	James Morrison Laing	
		US- 4,185,188		01-22-1980	Edward D. Sigl	
		US- 4,187,740		02-12-1980	Giovanni J. Silvestri	
		US- 4,901,601		02-20-1990	Angus B. Leggat	
		US- 5,302,160		04-12-1994	Kazuyoshi Fujioka	
		US- 5,928,105		07-27-1999	Issam A. Taha et al.	
		US- 6,422,971	B1	07-23-2002	Hiroshi Katou et al.	
		US- 6,508,140	B2	01-21-2003	Klaus Zaps	
		US- 2001/0018381	A1	08-30-2001	Takashi Suzumura et al.	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind <sup>5</sup> (if known)				
		JP	358 156 773	A	09-17-1983	Masayuki Kobayashi		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.